ATTORNEY DOCKET NO. \$1321-P022WUD3

PATENT APPLICATION NO. 10/632,284

UNITED STATES PATENT AND TRADEMARK OFFICE

Application of: James M. Tour et al.

Group Art Unit:

1754

Serial No.:

10/632,284

Filed:

MAR 0 2 2004

August 1, 2003

Title: PROCESS FOR MAKING POLYMERS COMPRISING DERIVATIZED CARBON

NANOTUBES AND COMPOSITIONS THEREOF

CERTIFICATE OF MAILING

I hereby certify that this Information Disclosure Statement along with attached SB/08A-B (Form 1449) and 27 references, are being deposited with the U.S. Postal Service with sufficient postage as first class mail in an envelope addressed to Commissioner for Patents, P.O. Box 1450, Alexandra Virginia 22313-1450, on February 26, 2004.

GRACIE SOLIS

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450

Dear Sir:

This Information Disclosure Statement is being submitted in connection with the above-identified application for patent. Applicant submits herewith patents, publications or other information of which it is aware, which it believes may be material to the patentability of this application and in respect of which there may be a duty to disclose in accordance with 37 C.F.R. § 1.56.

While this Information Disclosure Statement may be "material" pursuant to 37 C.F.R. § 1.56, it is not intended to constitute an admission that any patent, publication or other information referred to herein is "prior art" for this invention unless specifically designated as such.

In accordance with 37 C.F.R. § 1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined in 37 C.F.R. § 1.56(a) exists.

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The attached form, PTO-1449, provides a listing of patents, publications, or other information as required by 37 C.F.R. § 1.98(a)(1).

Also in accordance with 37 C.F.R. § 1.98(a)(2)(i), no copies of U.S. patents and pending applications identified on the attached Form PTO-1449 are required for all U.S. patent applications filed after June 30, 2003. Therefore, only copies of foreign patent documents and non-patent literature referenced on the attached Form PTO-1449 are submitted herewith.

Applicant believes that no fee is due at this time. However, the Commissioner is hereby authorized to credit any overpayment or charge for inadvertently omitted fees to Deposit Account No. 23-2426 (11321-P022WUD3).

Respectfully submitted,

Bv:

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In Lace of FORM PTO-1449 (Modified)

AST OF PATENTS AND PUBLICATIONS FOR APPLICANTS' INFORMATION DISCLOSURE STATEMENT

Serial Number:

10/632,284

Applicants: Filing Date:

James M. Tour et al. August 1, 2003

Group:

1754

Atty. Docket Number:

11321-P022WUD3

Reference Designation

U.S. PATENT DOCUMENTS

| Examiner Initial | Document Number | Date | Name | Class | Subclass | Filing Date if Appropriate |
|---------------------|--------------------|------------|--------------|-------|----------|----------------------------|
| AAA | 5,547,748 | 08/20/1996 | Ruoff et al. | 428 | 323 | |
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| ACA | | | | | | |

FOREIGN PATENT DOCUMENTS

| Examiner Initial | Document Number | Date | Country | Class | Subclass | Translation Yes No |
|---------------------|--------------------|------|---------|-------|----------|--------------------|
| ADA | | | | | | |
| AEA | | | | | | |
| AFA | | | | | | |

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

| Examiner Initial | |
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| AGA | AIHARA, "Lack of Superaromaticity in Carbon Nanotubes," Journal of Physics Chem., Volume 98, pp. 9773-9776 (1994). |
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Examiner: Date Considered:

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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